

## Solution set 3

to some problems given for TMA4230 Functional analysis

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**Problem 4.6.8.** The set of  $f \in X^*$  such that  $f|_M = 0$  is what I have called the annihilator  $M^\perp$  of  $M$ . And the set of  $x \in X$  so that  $f(x) = 0$  for each  $f \in M^\perp$  is the preannihilator  $(M^\perp)_\perp$  of  $f \in M^\perp$ . In this notation, we are asked to prove that  $\overline{\text{span } M} = (M^\perp)_\perp$ .

First, that  $M \subseteq (M^\perp)_\perp$  is trivial: It just says that if  $x_0 \in M$  and if  $f(x) = 0$  for every  $x \in M$ , then  $f(x_0) = 0$ . But  $(M^\perp)_\perp$  is a subspace of  $X$ , so then  $\text{span } M \subseteq (M^\perp)_\perp$  as well. Finally,  $(M^\perp)_\perp$  is closed, so  $\overline{\text{span } M} \subseteq (M^\perp)_\perp$ .

Conversely, assume that  $x_0 \notin \overline{\text{span } M}$ . By the Hahn–Banach theorem (or rather a consequence of it – see Lemma 4.6-7) there is a functional  $f \in X^*$  with  $f(x_0) \neq 0$  and  $f|_M = 0$ . Thus  $f \in M^\perp$ , and then  $f(x_0) \neq 0$  implies  $x_0 \notin (M^\perp)_\perp$ .

**Problem 4.6.9.** Recall (Kreyszig p. 168) that  $M$  being *total* in  $X$  means  $\overline{\text{span } M} = X$ . In the notation introduced above, we are asked to show that  $M$  is total if and only if  $M^\perp = \{0\}$ .

If  $M$  is total then  $M^\perp = \{0\}$  is an obvious consequence: For any bounded linear functional  $f$  which vanishes on  $M$  vanishes on  $\text{span } M$  (because  $f$  is linear), and then it vanishes on  $\overline{\text{span } M}$  (because  $f$  is continuous).

Conversely, if  $M^\perp = \{0\}$  then by the previous problem  $\overline{\text{span } M} = (M^\perp)_\perp = \{0\}_\perp = X$ , so  $M$  is total.

**Problem 4.7.5.** More generally, a subset of  $X$  is dense if and only if its complement has empty interior. (The statement of the problem follows from this just by using the definition of *rare*.)

Let  $A \subset X$ . Then  $A$  is dense in  $X$  if and only if  $A \cap U \neq \emptyset$  for every nonempty open set  $U \subseteq X$ . But  $A \cap U \neq \emptyset$  is the same as saying  $U$  is not contained in the complement of  $A$ . And saying that a set contains no open set is the same as saying it has empty interior.

**Problem 4.7.6.** If the complement  $M^c$  of a meager set  $M$  is meager, then we have written  $X$  as a union of two meager sets  $M$  and  $M^c$ . By definition each is a countable union of rare sets. Joining two countable sets of rare sets we again get a countable set of rare sets, which cannot have union  $X$  by the Baire theorem. This is a contradiction.

**Problem 4.7.7.** This problem just states the contrapositive<sup>1</sup> of the uniform boundedness theorem. So there really is nothing to do here. (But it is useful to have the theorem in this form.)

**Problem 4.7.8.** Using the notation (almost)<sup>2</sup> introduced in the problem, if  $x \in X$  with  $x_j = 0$  when  $j \geq J$ , then  $f_n(x) = 0$  if  $n > J$ , otherwise  $|f_n(x)| = n|x_j| \leq J\|x\|_\infty$ . So the family  $(f_n)_{n=1}^\infty$  is pointwise bounded. However, it is not uniformly bounded, for  $\|f_n\| = n$ .

**Extra:** Prove that a closed subspace of a reflexive space is reflexive.

Let  $X$  be a reflexive space and  $Y \subseteq X$  a closed subspace. Assume  $\eta \in Y^{**}$ . Define  $\xi \in X^{**}$  by setting

$$\xi(f) = \eta(f|_Y) \quad (f \in X^*).$$

Since  $X$  is reflexive, the functional  $\xi$  is really of the form  $f \mapsto f(x)$  for some  $x \in X$ . So the above definition becomes

$$\eta(f|_Y) = f(x) \quad (f \in X^*).$$

We claim that  $x \in Y$ . For if  $x \notin Y$ , there is a bounded linear functional on  $X$  with  $f|_Y = 0$  and  $f(x) \neq 0$  (because  $Y$  is closed, see Lemma 4.6-7). But this is impossible since then  $0 \neq f(x) = \eta(f|_Y) = \eta(0) = 0$ .

So we now write

$$\eta(g) = g(x) \quad (g = f|_Y, f \in X^*).$$

But, by the Hahn–Banach theorem, every bounded linear functional on  $Y$  can be written  $f|_Y$  with  $f \in X^*$ . Thus  $\eta(g) = g(x)$  for all  $g \in Y^*$ , where  $x \in Y$ . This proves that  $Y$  is reflexive.

<sup>1</sup>The *contrapositive* of a statement of the form “if A then B” is the equivalent statement “if not B then not A”.

<sup>2</sup>I dislike the convention of using different letters for a vector and its components, as in  $x = (\xi_j)$ . There aren’t enough letters in the alphabet, and this is wasteful.